

Institute for Problems of Mechanical Engineering of RAS Laboratory "Control of Complex Systems "



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# **Adaptive control and synchronization of complex networks**

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- **Synchronization of dynamical systems: history and definitions**
- **Decentralized output feedback synchronization for networks of Lurie systems** 
	- **- Problem statement**
	- **- Case of identical nodes**
	- **- Case of nonidentical nodes**
- **Diffusive coupling and adaptive consensus**
- **Adaptive observer-based synchronization under communication constraints**
- **Conclusions**





# **NETWORK SYSTEMS**

**Fast growth of interest during last decade: Search for papers with "complex networks" in titles IEEE Xplore (www.ieee.org) 2000-2001гг – 44 papers; 2005-2006гг – 101 papers APS journals (www.aps.org) 2000-2001гг – 4 papers; 2005-2006гг – 96 papers ---\*\*\*---**

**Paper by R. Albert and A.-L. Barabasi, "Statistical mechanics of complex networks," Rev. Mod. Phys., vol. 74, pp. 47–97, 2002.**

**had more than 3000 citations in 2007.**





**NETWORK SYSTEMS (Murray, R.M., K.J. Astrom, S.P. Boyd, R.W. Brockett, and G. Stein. Future directions in control …** *CS-M Apr 03* **20-33) Problems:**

- **Internet congestion control**
- **Control of energy, manufacturing, transportation networks**
- **Coordination of mobile robots, UAVs, UUVs**
- •**Control of bio- and ecosystems, monitoring of global changes**
- **Quantum computation networks**
- **Challenges:**
- **Control in geterogeneous nets (communication, computation, transportation, finance, …**
- **Reliability**
- **Asynchronous, multi-agent, distributed data processing**

### **I. Synchronization of dynamical systems**

#### 1. HISTORY OF DEFINITIONS OF SYNCHRONIZATION

- Blekhman I.I. Synchronization of dynamical systems. Moscow: Nauka Publishers, 1971, 894 p.
	- Frequency synchronization of processes  $x_1(t), \ldots, x_k(t)$  as coincidence of average frequencies of rotations or oscillations;
	- General idea of synchronization as coincidence of some functionals.
- Gurtovnik A.S., Neimark Yu.I. Appl. Math. Mech. 1974, No 5.
	- Convergence of  $X(t) = \{x_1(t), \ldots, x_k(t)\}\$ to asymptotically stable invariant torus of dimension  $n - m$  ( $m$  – degree of synchronization).
- Afraimovich V.S., Verichev N.N., Rabinovich M.I. Radiophys. 8c Quantium Electronics, 1986.
	- Existence of a homeomorphism  $g : \pi_1(A_c) \to \pi_2(A_c)$ , such that  $g(\pi_1(x_1(t))) \to$  $\pi_2(x_2(t+\alpha(t))).$

See also Fujisaka H. and Yamada T. (1983).

### **I. Synchronization of dynamical systems**

NONLINEAR SCIENCE

**Vol. 14 Series B** 

Series Editor: Leon O. Chua

# **DYNAMICS AND CONTROL OF HYBRID MECHANICAL SYSTEMS**

edited by **Gennady Leonov Henk Nijmeijer Alexander Pogromsky Alexander Fradkov** 



**World Scientific** 



Dedicated to Ilya Izrailevich Blekhman on the occasion of his Sith birthday

# **Synchronization of dynamical systems (ctd)**

• Babloyantz A., Destezhe A. (1986), Anischenko V.S. et al. (1990– 91), Landa P.S., Rosenblum M.G. (1992–93)

- Inequality  $d < d_1 + d_2 + \cdots + d_k$ ,

where  $d$  -fractal dimension of  $\{x_1, \ldots, x_k\},$  $d_i$  – fractal dimension of  $\{x_i\}$ .  $m = \sum_{i=1}^{k} d_i - d$  – degree of synchronization.

 $\bullet$  The 1990s:

Generalized synchronization (Abarbanel H.D.I., Rulkov N.F., Suschik  $M., 1995);$ 

Phase synchronization (Rosenblum M.G., Pikovsky A.S. Kurths J.,  $1996);$ 

Lag synchronization (Rosenblum M.G., Pikovsky A.S. Kurths J., 1997); Master-slave synchronization (Pecora L.M. and Carroll T.L., 1990)

### **Synchronization of dynamical systems (ctd)**

2. UNIFIED DEFINITIONS OF SYNCHRONIZATION

- Blekhman I.I., Fradkov A.L., Nijmeijer H., Pogromsky A. Yu. Systems & Control Lett., v. 31, 1997. (Special issue: Control of Chaos and Synchronization).
- Brown R., Kocarev L. Chaos, v. 10, No 2, 2000.
- Boccaletti S., Pecora L., Pelaez A. Phys. Rev. E, v. 63, 2001.

GIVEN:

- $-$  Processes  $x_1(t), x_1(t), \ldots, x_k(t), x_i(\cdot) \in \mathcal{X}$
- $-$  *Characteristic (index)* non-anticipating family of mappings  $C_t: \mathcal{X} \to \mathcal{C}$  (C – metric space)
- $-$  Comparison functions  $F_i: \mathcal{C} \to \mathbb{R}^m$ ,  $i = 1, \ldots, k$

**DEFINITION.** Processes  $x_1(t), \ldots, x_k(t)$  are called

A) synchronized w.r.t. index  $C_t$  and comparison functions  $F_i$ ,  $i = 1, \ldots, k$ , if there exist time shifts  $\tau_i$ ,  $i = 1, \ldots, k$ :

$$
F_1(C_{t+\tau_1}[x_1]) = F_2(C_{t+\tau_2}[x_2]) = \ldots = F_k(C_{t+\tau_k}[x_k]) \qquad \forall t \ge 0
$$

## **Definitions of synchronization (ctd)**

B)  $\varepsilon$ -synchronized w.r.t. index  $C_t$  and comparison functions  $F_i$ ,  $i = 1, \ldots, k$ , if there exist time shifts  $\tau_i$ ,  $i = 1, \ldots, k$ :

$$
\|F_i(C_{t+\tau_i}[x_i]) - F_j(C_{t+\tau_j}[x_j])\| \le \varepsilon \qquad \forall i, j, \quad t \ge 0
$$

C) asymptotically synchronized w.r.t. index  $C_t$  and comparison functions  $F_i$ ,  $i = 1, \ldots, k$ , if there exist time shifts  $\tau_i$ ,  $i = 1, \ldots, k$ :

$$
\lim_{t\to\infty} \left\| F_i\left(C_{t+\tau_i}[x_i]\right) - F_j\left(C_{t+\tau_j}[x_j]\right) \right\| = 0
$$

D) synchronized in the average w.r.t. index  $C_t$  and comparison functions  $F_i$ ,  $i = 1, \ldots, k$ , if there exist time shifts  $\tau_i$ ,  $i = 1, \ldots, k$ :

$$
\langle Q_t \rangle < \varepsilon
$$
\n
$$
Q_t = \sum_{i,j=1}^k \left\| F_i(C_{t+\tau_i}[x_i]) - F_j(C_{t+\tau_j}[x_j]) \right\|^2 -
$$
\n– measure of synchronization.

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**I.I.Blekhman, A.L.Fradkov. On general definitions of synchronization. In: Selected topics in vibrational mechanics, Ed. I.I.Blekhman, Singapore: World Scientific, 2004, pp. 179-188.**

## **Definitions of synchronization. Examples**

1. Frequency synchronization:

$$
C_t[x] = \langle \dot{x} \rangle_t = \omega_t \quad \text{– average frequency of } x(s) \text{ on } [0, t].
$$

- 2. Phase synchronization:
	- A)  $C_t[x] = \varphi_t = 2\pi \frac{t-t_n}{t_{n+1}-t_n} + 2\pi n$ ,  $t_n \leq t < t_{n+1}$ ,  $t_n$  time of nth crossing of the Poincaré section.

$$
k = 2: F_1(\varphi_t) = F_2(\varphi_t) = \varphi_t \quad \text{— inphase synchronization} F_1(\varphi_t) = \varphi_t, F_2(\varphi_t) = \varphi_t + \pi \quad \text{- antiphase synchronization}
$$

B)  $C_n[x] = t_n$ .

3. Complete coordinate (identical) synchronization:

$$
C_t[x] = x(t), \quad F_i(x) = x, \quad i = 1, \ldots, k.
$$

4. Generalized coordinate (partial) synchronization:

$$
C_t[x] = x(t)
$$
  $x(t) \in \mathbb{R}^n$ ,  
\n $F_1(x) = G_1(x)$ ,  $F_2(x) = G_2(x)$ ,  $G_i : \mathbb{R}^n \to \mathbb{R}^m$ .

**Remark. Asymptotic coordinate synchronization = coordination=convergence=consensus Partial coordinate synchronization=output synchronization**

# **3. DEFINITION OF ADAPTIVE SYNCHRONIZATION**

**(A.L.Fradkov. Nonlinear adaptive control: regulation-tracking-oscillations. Proc. 1st IFAC Workshop "New trends in design of control systems", 1994, 426-431.)**

Given equations of controlled systems  
\n
$$
\dot{x}_1 = F_1(x_1,...x_r,u,t,\xi), i=1...r
$$
\n(2.3)  
\nwhere  $\xi \in \Xi$  is vector of unknown parameters, find  
\nthe equation of the control algorithm  
\n $u=U(x_1,...x_r,0,t)$  (2.4)  
\nand adaptation algorithm  
\n $\theta = \theta(x_1,...x_r, \theta, t)$  (2.5)  
\nensuring the control goal  
\n $\dot{B}x_1(t) - \overline{x}_1(t)$   $\|\leq \Delta$  for  $t>t_*$  (2.6)

#### **Adaptive synchronization of networks**

- **B. Gazelles, B. Boudjema, N.P. Chau. Resynchronisation of globally coupled chaotic oscillators using adaptive control. Phys. Lett. A 210 (1996), 95-100** *(See also Physica* **D 103: pp. 452-465, 1997. )**
- **Z. Li and G. Chen, "Robust adaptive synchronization of uncertain dynamical networks," Phys. Lett. A, v.324, pp.166-178, 2004.**
- **J.Zhou, Jun-an Lu, J.Lu. Adaptive Synchronization of an Uncertain Complex Dynamical Network. IEEE Tr. AC-51, (4), 2006.**
- **Jing Yao, David J. Hill, Zhi-Hong Guan, and Hua O. Wang. Synchronization of Complex Dynamical Networks with Switching Topology via Adaptive Control. Proc. 45th IEEE CDC, San Diego, CA, 2006.**
- **Z. Yang, Z. Liu, Z.Chen, Z. Yuan. Adaptive Synchronization of an Uncertain Complex Delayed Dynamical Networks. Int. J. Nonlin. Sci. V. 3 (2007) N2.**
- **T. Liu, G. Dimirovski, J. Zhao. Controlled Synchronization of Complex Dynamical Networks with Nonlinear Nodes and Couplings.**

**3rd IEEE Multiconf. Systems and Control, St.Petersburg, July 8-10, 2009.**

12**P.De Lellis, M. di Bernardo, F.Garofalo. Novel decentralized adaptive strategies for the synchronization of complex networks. Automatica 45 (2009) 1312-1318.**

#### **Typical dynamical network model**

**(J.Zhou, Jun-an Lu, J.Lu. Adaptive Synchronization of an Uncertain Complex Dynamical Network. IEEE Trans. Autom. Control, (4), 2006.)**

 $(1)$  $\dot{\mathbf{x}}_i = \mathbf{f}(\mathbf{x}_i, t) + \mathbf{h}_i(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N) + \mathbf{u}_i$ where  $1 \leq i \leq N$ ,  $\mathbf{x}_i = (x_{i1}, x_{i2}, \dots, x_{in})^T \in \mathbb{R}^n$  is the state vector of the *i*th node,  $f : \Omega \times \mathbb{R}^+ \to \mathbb{R}^n$  is a smooth nonlinear vector field, node dynamics is  $\dot{\mathbf{x}} = \mathbf{f}(\mathbf{x}, t), \mathbf{h}_i : \Omega \times \cdots \times \Omega \to \mathbf{R}^n$  are unknown nonlinear smooth diffusive coupling functions,  $\mathbf{u}_i \in \mathbb{R}^n$ are the control inputs, and the coupling-control terms satisfy  $h_i(s, s, \ldots, s) + u_i = 0$ , where s is a synchronous solution of the node system  $\dot{\mathbf{x}} = \mathbf{f}(\mathbf{x}, t)$ .

#### **Typical adaptive control algorithm (J.Zhou, Jun-an Lu, J.Lu. Adaptive Synchronization of an Uncertain Complex Dynamical Network. IEEE Trans. Autom. Control, 2006 (4).)**

*Hypothesis 1*: H1) Assume that there exists a nonnegative constant  $\alpha$  satisfying  $\|\text{Df}(s, t)\|_2 = \|\textbf{A}(t)\|_2 \leq \alpha$ , where  $\textbf{A}(t)$  is the Jacobian of  $f(s, t)$ .

*Hypothesis 2:* H2) Suppose that there exist nonnegative constants  $\gamma_{ij}$  (1  $\leq i, j \leq N$ ) satisfying  $\|\bar{\mathbf{h}}_i(\mathbf{x}_1, \mathbf{x}_2, ..., \mathbf{x}_N, \mathbf{s})\|_2 \leq \sum_{j=1}^N \gamma_{ij} \|\mathbf{e}_j\|_2$  for  $1 \leq i \leq N$ .

$$
\mathbf{e}_i(t) = \mathbf{x}_i(t) - \mathbf{s}(t), \qquad 1 \le i \le N.
$$
  

$$
\overline{\mathbf{h}}_i(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N, \mathbf{s}) = \mathbf{h}_i(\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N) - \mathbf{h}_i(\mathbf{s}, \mathbf{s}, \dots, \mathbf{s}).
$$

#### **Typical adaptive control algorithm (ctd) (J.Zhou, Jun-an Lu, J.Lu. Adaptive Synchronization of an Uncertain Complex Dynamical Network. IEEE Trans. Autom. Control, (4), 2006.)**

*Theorem 1:* Suppose that H1 and H2 hold. Then, the synchronous solution  $S(t)$  of uncertain dynamical network (1) is locally asymptotically stable under the adaptive controllers

$$
\mathbf{u}_i = -d_i \mathbf{e}_i, \qquad 1 \le i \le N \tag{7}
$$

and updating laws

$$
\dot{d}_i = k_i \mathbf{e}_i^T \mathbf{e}_i = k_i ||\mathbf{e}_i||_2^2, \qquad 1 \le i \le N \tag{8}
$$

**Challenge: To design decentralized adaptive output feedback control ensuring synchronization under conditions of uncertainty and incomplete control**

# **II. Decentralized output feedback adaptive synchronization for networks of Lurie systems**

I.Junussov&A.Fradkov. Decentralized adaptive output feedback synchronization of interconnected Lurie systems. Autom.Rem.Control. 2009, 7.

A.Fradkov&I.Junussov, R.Ortega. IEEE MSC'09, St.Petersburg, July, 2009.

A.Fradkov&I.Junussov. IPACS Physcon09, Catania, 2009.

**Problem statement I: System description**

 $\dot{\overline{x}} = A_L \overline{x} + B_L (\overline{u} + \psi_0(\overline{y})), \quad \overline{y} = C^T \overline{x},$  $(1)$ 

where  $\overline{x} \in \mathbb{R}^n$  – state,  $\overline{y} \in \mathbb{R}^l$  – measurment,  $\overline{u}(t) \in \mathbb{R}^1$  is control that specified in advance,  $\psi_0 \colon \mathbb{R}^l \to \mathbb{R}^1$  – internal nonlinearity. Assume  $A_L, B_L, C$  and  $\psi_0(\cdot)$ **are known and do not depend**of unknown parameters  $\xi \in \Xi$ , where  $\Xi$  is known set. Consider a network  $S$  of  $d$  interconnected subsystems  $S_i$ ,  $i = 1, \ldots, d, d \in \mathbb{N}$ . Subsystem  $S_i$ :

$$
\dot{x}_i = A_i x_i + B_i u_i + B_L \psi_0(y_i) + \sum_{j=1}^d \alpha_{ij} \varphi_{ij} (x_i - x_j),
$$
\n(2)

$$
y_i = C^{\mathrm{T}} x_i, \qquad i = 1, \ldots, d,
$$

where  $x_i \in \mathbb{R}^n, u_i \in \mathbb{R}^1, \alpha_{ij} \in \mathbb{R}^1, y_i \in \mathbb{R}^l$ . Functions  $\varphi_{ij}(\cdot),$  $i=1,\ldots,d, j=1,\ldots,d,$  describe interconnections between subsystems. . Let matrices

 $A_i, B_i$  and functions  $\varphi_{ij}(\cdot), i = 1, \ldots, d, j = 1, \ldots, d$ , depend on the vector of unknown parameters  $\xi \in \Xi$ .

#### **Problem statement II: Control goal**

Let the control goal be specified as convergence of all trajectories of subsystems and the leader:

$$
\lim_{t \to +\infty} (x_i(t) - \overline{x}(t)) = 0, \qquad i = 1, \dots, d. \tag{3}
$$

The adaptive synchronization problem is to find a decentralized controller  $u_i = \mathcal{U}_i(y_i, \overline{u}, t)$  ensuring the goal (3) for all values of unknown initial conditions  $x_i(0), \bar{x}(0)$  and all values of unknown plant parameters  $\xi \in \Xi$ .

**Remark.** Control goal (3) corresponds to **coordinate** synchronization or convergence or consensus:

$$
|x_i(t) - x_j(t)| \to 0 \quad \text{as} \quad t \to \infty, \ i, j = 1, \dots d.
$$





**Decentralized output feedback synchronization** for identical nodes:  $A_i = A$ ,  $B_i = B$ **Adaptive controller:**  $u_i = \theta_i^{\mathrm{T}}(t)[y_i - \overline{y}] + \overline{u},$ 

$$
\dot{\theta}_i = -g^{\mathrm{T}}\tilde{y}_i \Gamma_i \tilde{y}_i, \qquad i = 1, \ldots, d,
$$

If the functions  $\psi_0$ ,  $\varphi_{ij}$  are Lipschitz continuous, and  $\boldsymbol{g^T}$ *CT[(s+L)I-A]-1 B* **– hyper minimum-phase and**



**is sufficiently small, then the adaptive**  $\mathbf{controller}\ \mathbf{ensures}\ \mathbf{the}\ \mathbf{goal}\ \mathbf{and}\ \mathbf{\theta}_i\ \mathbf{(}t\mathbf{)}\ \mathbf{are}$ **bounded.**

I.Junussov, A.Fradkov, Autom. Remote Control, 2009, 2N7) **(**Adaptive synchronization of nonlinear dynamical networks.





# **Passification theorem**

**(A.L.Fradkov: Aut.Rem.Contr.,1974(12), Sib.Math.J. 1976, Eur. J.Contr. 2003; Andrievsky, Fradkov, Aut.Rem.Contr., 2006 (11) ) Let W(s)=C T(sI-A)-1B – transfer matrix of a linear system. The following statements are equivalent: A) There exist matrix P=P Т >0 and row vector K, such that**  $\mathbf{PA_K} + \mathbf{A_K}^\mathrm{T}$   $\mathbf{P} < 0$ ,  $\mathbf{PB} = (\mathbf{CG}^\mathrm{T}), \, \mathbf{A_K} = \!\mathbf{A} + \mathbf{B} \mathbf{KC^\mathrm{T}}$ 

**B) Matrix GW(s) is hyper-minimum-phase (det[GW(s)] has Hurwitz numerator, GC TB=(GC TB) T >0 )**

21**the system strictly passive «from input v to output Gy » C) There exists a K, such that feedback u=Ky+v renders**

# **Case of nonidentical nodes. (**A.Fradkov, I.Junussov, Physcon-2009.)

### **Adaptive controller**

Denote  $\sigma_i(t) = \text{col}(y_i(t), \overline{u}(t))$ . Let the main loop of the adaptive system be specified as set of linear tunable local control laws:

$$
u_i(t) = \tau_i(t)^{\mathrm{T}} \sigma_i(t), \quad i = 1, \dots, d,
$$
 (4)

where  $\tau_i(t) \in \mathbb{R}^{l+1}, i = 1, \ldots, d$  are tunable parameters. By applying speed-gradient method we can derive following adaptation law:

$$
\dot{\tau}_i = -g^{\mathrm{T}}(y_i - \overline{y})\Gamma_i \sigma_i(t), i = 1, \dots, d,
$$
 (5)

where  $\Gamma_i = \Gamma_i^{\mathrm{T}} > 0 - (l+1) \times (l+1)$  matrices,  $g \in \mathbb{R}^l$ .

### **Assumptions**

A1) The functions  $\varphi_{ij}(\cdot), i = 1, \ldots, d, j = 1, \ldots, d$  are globally Lipschitz:

$$
\|\varphi_{ij}(x) - \varphi_{ij}(x')\| \le L_{ij} \|x - x'\|, \quad L_{ij} > 0, \n i = 1, ..., d, \quad j = 1, ..., d.
$$

A2)(matching conditions) For each  $\xi \in \Xi$ ,  $i = 1, ..., d$  there exist vectors  $\nu_i = \nu_i(\xi) \in \mathbb{R}^l$  and numbers  $\theta_i = \theta_i(\xi) > 0$  such that

$$
A_L = A_i + B_i \nu_i^{\mathrm{T}} C^{\mathrm{T}}, B_L = \theta_i B_i. \tag{6}
$$

### **Notations**

Consider real matrices  $H = H^{\text{T}} > 0, g$  of size  $n \times n, l \times 1$ correspondingly and a number  $\rho > 0$  such that:

$$
HA_L + A_L^{\mathrm{T}}H < -\rho H, \quad HB_L = Cg. \tag{7}
$$

Denote  $\lambda_* = \lambda_{max}(H)/\lambda_{min}(H)$  condition number of matrix H, where  $\lambda_{max}(H), \lambda_{min}(H)$  are maximum and minimum eigenvalues of matrix  $H$ .

Denote  $\chi(s) = C^{T}(sI_{n} - A_{L})^{-1}B_{L}$ . For the case when matrix  $A_{L}$ is Hurwitz introduce notation  $\rho_*$  for degree of stability of function's  $g^T \chi(s)$  denominator, i.e.  $\rho_* = \min_{k=1,...,n} | \operatorname{Re} \lambda_k(A_L) |$  where  $\lambda_k(A_L)$  are eigenvalues of  $A_L$ .

#### Definition

Let  $G \in \mathbb{R}^l$ . Function  $f: \mathbb{R}^l \to \mathbb{R}^1$  is called G-monotonically decreasing if inequality  $(x - y)^{T}G(f(x) - f(y)) \leq 0$  holds for all  $x, y \in \mathbb{R}^l$ .

### **Synchronization conditions**

Theorem  $(1)$ 

Let  $B\neq 0$ , matrix  $A_L$  is Hurwitz and for some  $g\in \mathbb{R}^l$  following frequency domain conditions hold:

$$
\operatorname{Re} g^{\mathrm{T}} \chi(i\omega) > 0, \quad \lim_{\omega \to \infty} \omega^2 \operatorname{Re} g^{\mathrm{T}} \chi(i\omega) > 0 \tag{8}
$$

for all  $\omega \in \mathbb{R}^1$ .

. Let for all  $\xi \in \Xi$  assumptions A1, A2 hold, function  $\psi_0(\cdot)$  be  $g$ -monotonically decreasing, and following inequalities hold

$$
\sum_{j=1}^{d} |\alpha_{ij} L_{ij}| < \gamma \quad i = 1, \dots, d
$$
 (9)

where  $\gamma = \rho_*/(2\lambda_*)$ ,  $\lambda_*$  is condition number of matrix H. Then for all  $\xi \in \Xi$ ,  $i = 1, \ldots, d$  adaptive controller (4), (5) ensures achievement of the goal (3) and boundedness of functions  $\theta_i(t)$  on  $[0,\infty)$  for all solutions of the closed-loop system  $(1)$ ,  $(2)$ ,  $(4)$ ,  $(5)$ .

# Remark 1. Evaluation of the value of  $\gamma$

The value of  $\gamma$  can be evaluated by solving LMI

$$
HA_L + A_L^{\mathrm{T}}H < -\rho H, \quad HB_L = Cg,
$$

by means of one of existing software package  $(0 < \rho < \rho_*)$ .

Remark 2. Weighted in-degree of graph node

The inequality  $(9)$  from Theorem 1:

$$
\sum_{j=1}^d |\alpha_{ij} L_{ij}| < \gamma \quad i = 1, \dots, d
$$

can be interpreted as follows:

Weighted in-degree of the each node of connections graph must be less than  $\gamma$ .

#### **Example: Network of Chua circuits**

Let the leading subsystem be described by the equation

$$
\dot{\overline{x}} = A_L \overline{x} + B_L (\overline{u} + \psi_0(\overline{y})), \quad \overline{y} = C^{\mathrm{T}} \overline{x},
$$

where  $\overline{x} \in \mathbb{R}^3$  is state vector of the system,  $\overline{y} \in \mathbb{R}^1$  is output available for measurement,  $\overline{u}$  is scalar control variable,  $\psi_0(\overline{y}) = pv(\overline{y})/b$ , where  $v(x) =$  $-0.5(m_0 - m_1)(|x + 1| - |x - 1| - 2x)$ . Further, let

$$
A_L = \begin{pmatrix} -1 & 0 & 0 \\ 1 & -1 & 1 \\ 0 & -q & 0 \end{pmatrix},
$$

 $B_L = col(b, 0, 0), C = col(1, 0, 0).$  Let the leader be controlled as follows:

$$
\overline{u}(t) = \frac{1}{b} \left[ (- (1+m_0)p + 1)\overline{x}_1(t) + p\overline{x}_2(t) \right].
$$

Such control ensures chaotic behavior of the leader for  $m_0 = -8/7, m_1 =$  $-5/7, p = 15.6, q = 30, b = 1$  and  $q = 1$ .

### **Example: Network of Chua circuits (ctd)**

Denote  $\varphi_{ij} = \varphi_{ij}(x_i - x_j), i = 1, ..., 5, j = 1, ..., 5$ . Let  $\varphi_{14}, \varphi_{25}, \varphi_{32}, \varphi_{42}, \varphi_{45}, \varphi_{52}, \varphi_{53},$ be equal to  $(0,0,0)^T$ . Further, let

$$
\varphi_{12} = (\sin(x_{11} - x_{21}), 0, 0)^{\mathrm{T}},
$$
  
\n
$$
\varphi_{13} = (0, x_{12} - x_{32}, 0)^{\mathrm{T}},
$$
  
\n
$$
\varphi_{15} = (0, 0, \sin(x_{13} - x_{53}))^{\mathrm{T}},
$$
  
\n
$$
\varphi_{21} = (x_{21} - x_{11}, 0, x_{23} - x_{13})^{\mathrm{T}},
$$
  
\n
$$
\varphi_{23} = (0, \sin(x_{22} - x_{32}), 0)^{\mathrm{T}},
$$
  
\n
$$
\varphi_{24} = (0, x_{22} - x_{42}, 0)^{\mathrm{T}},
$$
  
\n
$$
\varphi_{31} = (\sin(x_{31} - x_{11}), 0, 0)^{\mathrm{T}},
$$
  
\n
$$
\varphi_{34} = (\sin(x_{31} - x_{41}), 0, 0)^{\mathrm{T}},
$$
  
\n
$$
\varphi_{35} = (x_{31} - x_{51}, x_{32} - x_{52}, x_{33} - x_{53})^{\mathrm{T}},
$$
  
\n
$$
\varphi_{41} = (0, \sin(x_{42} - x_{12}), 0)^{\mathrm{T}},
$$
  
\n
$$
\varphi_{43} = (\sin(x_{41} - x_{31}), 0, 0)^{\mathrm{T}},
$$
  
\n
$$
\varphi_{51} = (x_{51} - x_{11}, 0, x_{53} - x_{13})^{\mathrm{T}},
$$
  
\n
$$
\varphi_{54} = (0, x_{52} - x_{42}, 0)^{\mathrm{T}}.
$$



#### **Example: Network of Chua circuits (ctd)**

Let subsystem  $S_i$  for  $i = 1, ..., 5$  be described by (2) with  $u_i, \alpha_{ij} \in \mathbb{R}^1$ . By choosing  $(\nu_1, \nu_2, \nu_3, \nu_4, \nu_5) = (3, 1, 4, 1, 5), \theta_i = 1/i, i = 1, ..., 5$  and using (6) we obtain matrices  $A_i, B_i$  for  $i = 1, \ldots, 5$ , which are not equal, i.e. nodes are nonidentical.

It is easy to show that frequency-domain conditions (8) hold,  $\psi_0(\cdot)$  is gmonotonically decreasing, Lipschitz constants of all  $\varphi_{ij}$  are equal to 1.

#### **Example: Simulation for 40 seconds**



Figure: (A): Phase portrait of leading subsystem, (B):  $||x_i - \overline{x}||$ ,  $\tilde{u}_i = u_i - \overline{u}, i = 1, \ldots, 5.$ 



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# **ADAPTIVE SYNCHRONIZATIONOF NETWORKS BY DIFFUSION COUPLING**

# **I.A.Junussov, A.L Fradkov. XI International E.S. Pyatnitskiy Workshop STAB 10, June 1-4, 2010, Moscow**



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# **Controlled network:**

$$
\dot{x}_i = Ax_i + Bu_i, \quad y_i = C^{\mathbf{T}} x_i,
$$

# **Diffusion coupling (Output Feedback, Consensus Protocol):**

$$
u_i = K \sum_{j \in \mathcal{N}_i} (y_i - y_j) = KC^{\mathrm{T}} \sum_{j \in \mathcal{N}_i} (x_i - x_j), \quad K \in \mathbb{R}^{1 \times l},
$$





### **Theorem.**

**A1. Network graph is strongly connected and balanced.** A2. gW(s) is hyper-minimum-phase for some g=(g<sub>1</sub>,...g<sub>n</sub>). Let K= $\mu$ g, where  $\mu$ >0 is sufficiently large.

# **Then synchronization is achieved:**

$$
\lim_{t \to \infty} (x_i(t) - d^{-1/2} e^{At} (1_d^{\rm T} \otimes I_n) x(0)) = 0, i = 1, ..., d.
$$





# **Extension 1. Network of Lurie systems. Extension 2. Network graph has a spanning tree Extension 3. Adaptive controller:**

$$
\mathbf{u_i} = \mathbf{\mu_i} \sum_{j \in \mathcal{N}_i} (y_i - y_j)
$$

$$
\mathbf{d\mu_i} / \mathbf{d} = \gamma \mathbf{g} \sum_{j \in \mathcal{N}_i} (y_i - y_j) \sum_{j \in \mathcal{N}_i} (y_i - y_j)
$$





# **III. ADAPTIVE SYNCHRONIZATION ADAPTIVE SYNCHRONIZATIONOF NONLINEAR NONLINEAR NETWORKS NETWORKS UNDER COMMUNICATION COMMUNICATION CONSTRAINTS CONSTRAINTS**

# **Boris Andrievsky, Alexander Fradkov. X International E.S. Pyatnitskiy Workshop, June 3-6, 2008, Moscow**





# **PLAN**

### **1. Introduction**

- **2. Synchronization of nonlinear systems under communication constraints** 
	- **2.1. Overview.**
	- **2.2. Problem statement**
	- **2.3. Coding procedure for first order coder**
	- **2.4. Bound for synchronization error**
	- **2.5. Synchronization of chaotic Chua systems**
- **3. Adaptive synchronization of nonlinear systems and networks under communication constraints**

**Conclusions** 





*G. Nair, R. Evans***,** "**Exponential stabilisability of finite-dimensional linear systems with limited data rates,**" *Automatica***, 2003, 585-593.**

$$
x_{k+1} = Ax_k + Bu_k, \quad y_k = Cx_k,
$$
  
\n
$$
Z = \{0, 1, ..., \mu - 1\}, \quad R = \log_2 \mu
$$
  
\n
$$
s_k = \gamma_k(\hat{y}_k, \hat{s}_{k-1}) - \text{coder}
$$
  
\n
$$
u_k = \delta_k(\hat{s}_{k-1}) - \text{controller}
$$
  
\n
$$
\rho - \text{exponential stability of the system:}
$$
  
\n
$$
\rho^{-k} ||x_k||^r \to 0 \text{ as } k \to \infty \Leftrightarrow
$$
  
\n
$$
R > \sum \log_2 \left| \frac{\eta_j}{\eta} \right|
$$

j

 $\sum_{|\eta_i|\geq \rho}$ <sup>10</sup>52

 $\overline{|\eta_i|} \geq \rho$   $\beta$ 





**Corollary ("Data-Rate Theorem"):**

# **INFORMATION MUST BE TRANSPORTED AS FAST AS THE SYSTEM GENERATES IT, OR ELSE INSTABILITY OCCURS**





**Observer-based Synchronization of Nonlinear Systems Under Communication Constraints Drive–response synchronization of two unidirectionally coupled oscillators via a communication channel with finite capacity:**







# **Coupled systems in the Lurie form**

• **Drive system:**

$$
\dot{x} = Ax + \varphi(y), \ y = Cx,\tag{1}
$$

- *x - <sup>n</sup>***-dimensional vector of state variables,** *y -* **scalar output,**   $A$  - ( $n \times n$ )-matrix,  $C$  - (1  $\times n$ )-matrix,  $\varphi(y)$  – nonlinearity. All **the trajectories of (1) belong to a bounded set Ω.**
	- **Response system - nonlinear observer:**

$$
\dot{\hat{x}} = A\hat{x} + \varphi(y) + K(y - \hat{y}), \; \hat{y} = C\hat{x}, \; (2)
$$

*K* **- observer gain vector.**





# **Coding procedure**

*Central numbers*  $c_k$ ,  $k = 0,1,...$ ;  $c_0 = 0$ . Deviation  $\partial y_k = y_k - c_k$ ,

$$
q_{\nu,M}(y) = \begin{cases} \delta \cdot \langle \delta^{-1} y \rangle, & \text{if } |y| \le M, \\ M \operatorname{sign}(y), & \text{otherwise,} \end{cases}
$$
(6)

**Signal**  $\partial y_k$  **transmitted over the channel to the decoder.**  $\boldsymbol{N}$ umbers  $\boldsymbol{c}_{k+1}$  and  $\boldsymbol{M}_k$  are renewed:

$$
c_{k+1} = c_k + \partial y_k, \quad c_0 = 0, \quad k = 0, 1, ..., \quad (8)
$$

$$
M_k = (M_0 - M_{\infty})\rho^k + M_{\infty}, \quad k = 0, 1, ..., \qquad (9)
$$

 $0 < \rho \leq 1$  - *decay parameter*,  $M_{\infty}$  - limit value of  $M_{k}$ .  $M_0$ : should be large enough to capture all  $y_0$ .



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## **Transmission error**

$$
\delta_y(t) = y(t) - \bar{y}(t). \tag{4}
$$

## **Error equation:**

$$
\dot{e} = A_K e + \varphi(y) - \varphi(y + \delta_y(t)) - K \delta_y(t) \qquad (5)
$$
  
where  $A_K = A - KC$ . Let  $|\delta_y(t)| \le \Delta$ .

**Upper bound of the limit synchronization error:**

$$
Q=\sup\overline{\lim_{t\to\infty}}\|e(t)\|
$$

**Problem:** relate  $Q$  to  $\varDelta$  and  $\varDelta$  to data rate  $R$ .





# **Bound for synchronization error**

- Assumption:  $|\varphi(y) \varphi(y+\delta)| \leq L_{\varphi}|\delta|$  for all  $y=Cx, x$  in  $\Omega$ ,  $\Omega$  a **set containing all the trajectories of the drive system (1).** Choose *K* s.t.  $A_K$  is a Hurwitz matrix; choose *P*=*P*T >0 satisfying
- **for some**  *μ* **> 0 the modified Lyapunov inequality:**

Result:

\n
$$
\overline{P}A_{K} + A_{K}^{\mathrm{T}}P \leq -\mu P, \qquad (21)
$$
\nResult:

\n
$$
\overline{\lim_{t \to \infty}} \|e(t)\| \leq C_{e}^{+} r^{*} L_{y}/\overline{R}, \qquad (24)
$$
\nwhere

\n
$$
C_{e}^{+} = \sqrt{\frac{\lambda_{\max}(P)}{\lambda_{\min}(P)}} \frac{L_{\varphi} + \|K\|}{\mu}.
$$

43**[Fradkov, Andrievsky, Evans. Physical Review E, v.73, 066209, 2006]**





# **Adaptive Synchronization of Nonlinear Systems Under Communication Constraints**

*[Fradkov A.L., Andrievsky B., Evans R.J. Proc. 1st IFAC Conf. "CHAOS 2006",* **Reims, 28-30 June, 2006. pp. 279-284. http://www.ifac-papersonline.net**

*Fradkov A.L., Andrievsky B., Evans R.J.* **Adaptive Observer-Based Synchronization of Chaotic Systems with First-Order Coder in Presence of Information Constraints. IEEE Trans. Circuits & Systems-I, 2008 ]**





# **Problem statement and synchronization scheme**

**Nonlinear uncertain system ("transmitter", "master system"):**

$$
\dot{x} = Ax + \varphi_0(y) + B \sum_{i=1}^m \theta_i \varphi_i(y), \quad y = Cx,\tag{1}
$$

- *x -* **transmitter state**  *n -***vector;** *y – l-***vector of outputs (to be transmitted over the communication channel);**
- $\theta = [\theta_1, \dots, \theta_m]^T$   **parameters.**
- *Assumption:*  $\varphi_i(\cdot), A, C, B$  *are known;*  $W(\mathrm{s}){=}C(\mathrm{sI}{-}A){}^{\text{-}1}B$  $\mathrm{HMP}.$
- **To achieve synchronization between two chaotic systems:**  *adaptive observer* **[***Fradkov, Nijmeijer, Markov, Int. J. Bifurc. Chaos***, 10 (12), 2000].**





# **Adaptive observer**

•*Tunable observer block***:**

$$
\begin{aligned}\dot{\hat x}\!=\!A\hat x\!+\!\varphi_0(y)\!+\!B\sum_{i=1}\hat\theta_i\varphi_i(y)\!+\!L(y\!-\!\hat y),\\ \hat y\!=C\hat x,\end{aligned}
$$

- *x –***observer state** *n-***vector,** *y –* **observer output** *l-***vector,**  *–***tunable parameters (***i* **= 1***,***2***,...,<sup>m</sup>***).**
- •*Adaptation block***:**

$$
\dot{\hat{\theta}}_i = -\gamma_i(y - \hat{y})\varphi_i(y), \quad i = 1, 2, \dots, m,
$$
 (3)

 $\gamma_i > 0$  – adaptation gains.





## **Regularized adaptive observer**

**Observe input:** 
$$
\bar{y}(t) = y(t) + \delta_y(t)
$$
  
 $\delta_y(t)$  - total distortion.

$$
\dot{\hat{x}} = A\hat{x} + \varphi_0(\bar{y}) + B \sum_{i=1}^m \hat{\theta}_i \varphi_i(\bar{y}) + L(\bar{y} - \hat{y}),
$$
  

$$
\hat{y} = C\hat{x}, \qquad (5)
$$

$$
\hat{\theta}_i = -\gamma_i(\bar{y} - \hat{y})\varphi_i(\bar{y}) - \alpha_i\hat{\theta}_i, \quad i = 1, 2, \dots, m,
$$
 (6)

*α i–***regularization gains.**





**Analytical bounds for synchronization error**

- **Limit synchronization error**  $Q = \overline{\lim_{t \to \infty}} ||x(t) \hat{x}(t)||$
- **Problem: find bounds on the system parameters and transmission rate ensuring prespecified upper bound of the asymptotic error**  $\boldsymbol{Q} \leq \boldsymbol{\Delta}_x$ **.**





**Theorem 1.** Let the following assumptions hold:

Al. The observer gain matrix  $L$  is such that the transfer function

$$
W_L(\lambda)=C(\lambda{\rm I}-A+LC)^{-1}B
$$

is strictly passive, i.e. satisfies inequalities

$$
\operatorname{Re} W_L(i\omega) > 0 \quad \forall \omega \ge 0,
$$
  

$$
\lim_{\omega \to \infty} \omega^2 \operatorname{Re} W_L(i\omega) > 0.
$$
 (10)





- A2. The system (1) possesses a bounded invariant set  $\Omega_{\theta} \subset$  $\mathbb{R}^n$  for any  $\theta \in \Theta \subset \mathbb{R}^m$ , where  $\Theta$  is the set of possible values of uncertain parameters and  $x(0) \in \Omega$ .
- A3. Functions  $\varphi_i(y)$ ,  $i = 0, 1, \ldots, m$  are bounded and Lipschitz continuous in the closed  $\Delta$ -vicinity of  $\Omega_{\theta}$ , i.e.

$$
|\varphi_{\pmb{i}}(y)|\!\leq\!L_{\pmb{\varphi}},\ \ \, |\varphi_{\pmb{i}}(y')\!-\!\varphi_{\pmb{i}}(y)|\!\leq\!L_{\pmb{\varphi}}'
$$

for some  $L_{\varphi}$ ,  $L'_{\varphi}$  and for all  $y = Cx$ ,  $x \in S_{\Delta}(\Omega_{\theta})$ , where  $S_{\Delta}(\Omega_{\theta}) = \{x : \exists z \in \Omega_{\theta} : ||x - z|| \leq \Delta\}.$ 

Then there exist constants  $C_1 > 0$ ,  $C_2 > 0$  such that for any  $\Delta > 0$  the choice of design parameters  $\alpha = \Delta^2$ ,  $\gamma = C_2/\Delta^2$ guarantees that the synchronization goal is achieved for

 $\Delta_{x} = C_1 \Delta$ 



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# **Adaptive synchronization of Chua systems**





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### *Response system* **– adaptive observer**

$$
\begin{cases}\n\dot{\hat{x}}_1 &= p(\hat{x}_2 + \hat{x}_1 + f(\bar{y}(t)) + \hat{\theta}(t)f_1(\bar{y}(t)) + l_1\varepsilon(t),\n\dot{\hat{x}}_2 &= \hat{x}_1 - \hat{x}_2 + \hat{x}_3 + l_2\varepsilon(t),\n\dot{\hat{x}}_3 &= -q\hat{x}_2 + l_3\varepsilon(t),\n\end{cases}
$$
Adjustable parameter (31)

$$
\hat{y}(t) = \hat{x}_1(t), \quad \varepsilon(t) = \bar{y}(t) - \hat{y}(t), \quad L = [l_1, l_2, l_3]^T
$$
 - gain

### *Adaptation algorithm*

$$
\dot{\hat{\theta}} = \gamma(\bar{y}(t) - \hat{y}(t)) f_1(\bar{y}(t)) + \alpha (\hat{\theta}_0 - \hat{\theta}(t)), \quad (32)
$$

*γ*,  $\alpha$  – parameters,  $\hat{\theta}_0$  – *a priori* estimate for  $\theta$ .





#### **4.4 Simulation results Parameters:**  *p***=10***.***0,**  *q***=15***.***6,**  *m* **<sup>0</sup>=0***.***33,**  *m* **<sup>1</sup>=0***.***22.** Observer gain matrix  $L = [1.00,$  5.54, 4.44]T ;  $\alpha = 0.02, \gamma = 0.2$ . Two values of  $\theta$ :  $\theta$  = 0.8;  $\theta$  = 1.0. Q vs R for  $\hat{\theta}_0 = 0.9$  and different  $\theta$ . 3 2.5 2  $1.5$ 1  $\theta = 0.8$  $0.5$  $\theta = 1.0$ Ω 53 200 300 400 500 100 R bit/s



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**Parameter estimation error**

$$
Q_\theta = \Big(\frac{1}{T}\int\limits_0^T \|\theta - \hat{\theta}(t)\|^2\,dt\Big)^{1/2}
$$

 $Q_{\theta}$ */θ* vs *R* **Parameter** estimation  $\Delta = 1$ ,  $R = 50$  bit/s.







# **Synchronization of Dynamical Networks under Communication Constraints**



**"Star", "chain" and "star-chain" network topologies.**

55**A.L. Fradkov, B. Andrievsky. Application of passification method to controlled synchronization of tree networks under information constraints. IEEE MSC'09. St.Petersburg, July, 2009**





Limit Synchronization Error  
\n
$$
\begin{cases}\n\dot{x}_0 = Ax_0 + \varphi(y_0), \quad y_0 = Cx_0, \\
\dot{x}_1 = Ax_1 + \varphi(\bar{y}_0) + L_1(\bar{y}_0 - y_1), \quad y_1 = Cx_1, \\
\cdots \\
\dot{x}_N = Ax_N + \varphi(\bar{y}_{N-1}) + L_N(\bar{y}_{N-1} - y_N), \quad y_N = Cx_N\n\end{cases}
$$
\n
$$
Q_i = \overline{\lim}_{t \to \infty} || x_i(t) - x_0(t) ||,
$$
\n
$$
Q_i \leq K_i / R_i, \text{ where } R_i = \min_{1 \leq j \leq i} \{R_j, R_{cj}\} \quad K_i > 0
$$
\n
$$
R_{cj} = v\omega - \text{computation rate}
$$





### **EXTENSIONS**

*1. Tunable coder may be used to avoid saturation and to improve accuracy.*

$$
\begin{aligned} \lambda_k &= (\bar{\partial}y_k + \bar{\partial}y_{k-1})/2, \\ M_k &= m + \begin{cases} \rho M_{k-1}, & \text{if} \hspace{0.2cm}|\lambda_k| \leq 0.5 \\ M_{k-1}/\varrho, & \text{otherwise}, \end{cases} \end{aligned}
$$

 $0 < \varrho \leq 1$  – decay parameter;  $m = (1 - \rho)M_{\text{min}}$ ,  $M_{\min}$  – minimal value for  $M_k$ .





## **EXTENSIONS-II**

**2. System structure can be extended beyond Lurie form, e.g.**

$$
\dot{x} = A(y)x + B\varphi(y), \quad y = Cx,
$$

**Then response system is as follows:**

$$
\dot{\hat{x}} = A(y)\hat{x} + B\varphi(y) + K(\overline{y}(t) - \hat{y}(t)), \quad \hat{y} = C\hat{x}
$$

**All results hold under convergence condition, e.g. Demidovich condition for matrix**  $A_K(y)=A(y)-KC$ **, namely** 

$$
\lambda_i(A_K(y) + A_K(y)^T) \le -\varepsilon < 0, \quad i = 1, 2, \dots, n
$$





# **CONCLUSIONS**

- **For systems with first-order coders the upper and lower bounds of limit synchronization error are proportional to the maximum rate of the coupling signal and inversely proportional to the data transmission rate (channel capacity).**
- **If channel bit-rate is sufficiently large then an arbitrarily small limit synchronisation error can be reached for uncertain system by means of adaptive synchronization algorithm.**
- •**More results for nonadaptive case: see survey**
- •**Andrievsky, Matveev, Fradkov. Automation and Remote Control, 2010, 4.**



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#### **Experimental Multi-Pendulum Set-Up in IPME, St.Petersburg**







# **CONCLUSIONS**

- 1) Decentralized adaptive output feedback **<sup>s</sup>**ynchronization **problem for nonlinear networks encompassing coordinate synchronization and consensus problem** is studied.
- 2) In contrast to a large number of previous results, we obtained control algorithms and synchronization conditions for networks consisting of **nonidentical nonlinear systems with incomplete measurement, incomplete control, incomplete information about system parameters and coupling.**
- 3) Control algorithm design is based on **speed-gradient method, passivity, passification.**
- **Future research:** examination of the influence of information constraints with full order coder.



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**Tool: Decentralized Speed-Gradient approach ( M.V. Druzhinina and A. L. Fradkov. Adaptive decentralized control of interconnected systems. Proc. of 14th IFAC WC, 1999, Vol.L, pp.175-180, Fradkov A.L., Miroshnik I.V., Nikiforov V.O. Nonlinear and Adaptive Control of Complex Systems. Dordrecht: Kluwer Academic Publ., 1999 ).**

$$
\dot{x}_i = f_i(x_i) + b_i(x_i, u_i) + h_i(x), \qquad (1)
$$

$$
i = 1...N,
$$

$$
h_i(x) \le \sum_{j=1}^N \xi_{ij} ||x_j||, \ \xi_{ij} \ge 0, \ \ i = 1...N. \quad (2)
$$

**Control Goal:**

$$
Q_i(x_i(t)) \to 0 \text{ as } t \to \infty,
$$
 (5)





#### **Assumption A1: local subsystems**

$$
\dot{x}_i = f_i(x_i) + b_i(x_i, u_i), \quad i = 1...N, \quad (6)
$$

can be exponentially stabilized, i.e. there exist  $u_i^{\,\,*}(\text{x}_i)$ :

$$
\alpha_{1i}||x_i||^2 \le Q_i(x_i) \le \alpha_{2i}||x_i||^2
$$

$$
||\nabla Q_i(x_i)|| \le \beta_i ||x_i|| \tag{7}
$$

$$
\dot{Q}_i(x_i, u_i) \Big|_{u_i = u_i^*(x_i)} \le -\rho_i Q_i(x_i),
$$

where

$$
\dot{Q}_i(x_i)\Big|_{u_i=u_i^*(x_i)} =
$$
  
=  $\nabla Q_i(x_i)^T (f_i(x_i) + b_i(x_i, u_i^*(x_i))),$ 





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# Theorem. Let: 1. Local laws  $u_i^*(x_i)$  can be represented as **smooth functions of auxiliary parameters:**

$$
u_i^*(x_i) = U_i(x_i, \theta_i^*), \quad i = 1 \dots N,
$$

2. Time-derivative of the function  $Q_i(x_i)$  is convex in  $\theta_i$ , i.e. any  $\theta_i$ ,  $\theta'_i$ ,  $x_i$  satisfy the inequality:  $\dot{Q}_i(x_i, \theta'_i) - \dot{Q}_i(x_i, \theta_i) \geq (\theta_i - \theta'_i)^T \nabla_{\theta_i} (\dot{Q}_i(x_i, \theta_i))$ 

3. Functions  $h_i(\cdot)$ ,  $i = 1...N$  satisfy (2) and additional condition:

$$
\beta_i^2 + \sum_{j=1}^N \xi_{ij}^2 < 2\rho_i \alpha_{1i} / \sqrt{N}, \quad i = 1 \dots N. \tag{13}
$$





# Then the decentralized adaptive control law

$$
u_i = U_i(x_i, \theta_i)
$$
  
\n
$$
\dot{\theta}_i = -\gamma_i \nabla_{\theta_i} (\nabla Q_i^T b_i(x_i, U_i(x_i, \theta_i))), \quad (14)
$$
  
\n
$$
i = 1 \dots N,
$$

where  $\gamma_i > 0$ ,  $i = 1 \dots N$ , provides the boundedness of the whole system  $(1),(14)$  trajectories and the achievement of the goal (5).





#### **Proof. Choose Lyapunov function and evaluate its time derivative:**

$$
V_a(x,\theta) = \sum_{i=1}^N V_{ia}(x_i,\theta_i) = \sum_{i=1}^N \{Q_i(x_i) + \frac{1}{2\gamma_i}(\theta_i - \theta_i^*)^T(\theta_i - \theta_i^*)\}
$$

$$
\dot{V}_a = \sum_{i=1}^N \{\dot{Q}_i(x_i,\theta_i) - (\theta_i - \theta_i^*)^T \nabla_{\theta_i}(\dot{Q}_i) + \nabla Q_i^T h_i(x)\} \le \sum_{i=1}^N \{\dot{Q}_i(x_i,\theta_i^*) + \nabla Q_i^T h_i(x)\}.
$$
Then apply A1 and condition (2):

**Then apply A1 and condition (2):**

$$
\dot{V}_a \leq -\sum_{i=1}^N \rho_i Q_i(x_i) + \sum_{i=1}^N \beta_i ||x_i|| \sum_{j=1}^N \xi_{ij} ||x_j|| \leq
$$
  

$$
\leq -\sum_{i=1}^N \alpha_{1i} \rho_i ||x_i||^2 + \sum_{i=1}^N \beta_i ||x_i|| \sum_{j=1}^N \xi_{ij} ||x_j||.
$$

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#### **Introduce notation:**

$$
\eta_i \stackrel{\Delta}{=} \frac{\sqrt{N}}{2} \left( \beta_i^2 + \sum_j \xi_{ij}^2 \right) - \rho_i. \tag{15}
$$

Choosing  $h_i(\cdot)$ , which satisfy the inequality (13), we obtain that  $\eta_i > 0$ . Thus, we have:

$$
\dot{V}_a \le -\sum_{i=1}^N \eta_i ||x_i||^2 \le -\sum_{i=1}^N \frac{\eta_i}{\alpha_{2i}} Q_i(x_i), \quad (16)
$$

**Integrate (16) over [0,t]:**

$$
0 \leq \sum_{i=1}^{N} Q(x_i(t)) \leq V_a(x(t), \theta(t)) \leq
$$
  

$$
\leq V_a(x(0), \theta(0)) - \sum_{i=1}^{N} \frac{\eta_i}{\alpha_{2i}} \int_{0}^{t} Q_i(x_i(s)) ds,
$$



The boundedness of the system  $(1)$ ,  $(14)$  trajectories  $x(t)$ ,  $\theta(t)$  follows from the growth condition (11) for objective functions  $Q_i(\cdot)$ ,  $i = 1, \ldots, N$ .

Taking into account boundedness of the right hand sides of the system  $(1)$ ,  $(14)$ , one may show that  $x_i(t)$  are uniformly continuous. Hence functions  $Q_i(x_i(t))$ ,  $i = 1...N$ , are uniformly continuous and integrable on  $[0,\infty)$ , i.e. satisfy the condition of Barbalat's lemma, which guarantees that  $Q_i(x_i(t)) \to 0$  when  $t \to \infty$ . This proved the theorem.