

Data-Driven Modeling of Batch Processes: Two Methodological Generalizations

Time-Varying Inputs and *Time-Resolved* Outputs

CHRISTOS GEORGAKIS

Systems Research Institute for Chemical and Biological Processes
& Department of Chemical and Biological Engineering

TUFTS UNIVERSITY
MEDFORD MA 02155, USA

2

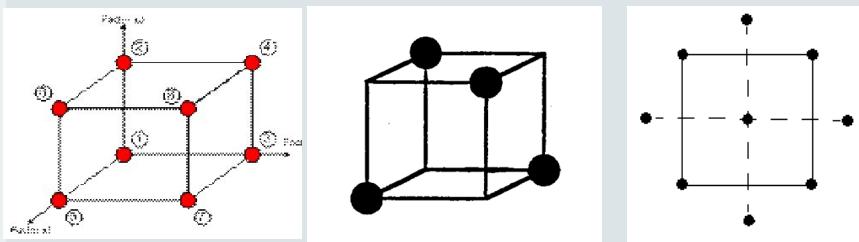
What I am Going to Tell You?

- Generalization of the Design of Experiments
 - ◆ Design of Dynamic Experiments
 - Georgakis, C. (2013) "Design of Dynamic Experiments: A Data-Driven Methodology for the Optimization of Time-Varying Processes" Ind. & Eng. Chem. Res. **52** (35) pp. 12369-12382
 - ◆ Dynamic Response Surface Models
 - Klebanov, N. & C. Georgakis (2016) "Dynamic Response Surface Models: A Data-Driven Approach for the Analysis of Time-Varying Process Outputs" Ind. & Eng. Chem. Res. **55** (14) pp. 4022-4034
- Use DoDE - DRSM to:
 - ◆ Model Processes Not Well Understood
 - ❖ **Mostly Batch but also ... Continuous Processes**
 - ◆ Optimize them
 - ❖ **Almost as Well as with a Knowledge-Driven Model (KDM)**
 - ◆ Even ... Proceed towards a KDM
- Industrial Applications

The Limitations of DoE

3

- DoE a Very Powerful Methodology 50 Years Young!
 - ◆ Full and Fractional Factorial Designs, ANOVA
 - ◆ RSM: Interpolative and Linear and Nonlinear Models
 - ❖ Linear in Parameters



DoDE & DRSM: Generalizations of DoE

The Limitations of DoE

4

- DoE a Very Powerful Methodology 50 Years Young!
 - ◆ Full and Fractional Factorial Designs, ANOVA
 - ◆ RSM: Interpolative and Linear and Nonlinear Models
 - ❖ Linear in Parameters
- Two Major Limitations of DoE
 - ◆ Inputs Do NOT Vary with Time
 - ❖ Why Keep Reaction Temperature Constant?
 - ❖ Why Keep Co-reactant Flow Constant?
 - ◆ Outputs Measurements at End of Experiment
 - ❖ We Take On-Line Spectral and Other Measurement VERY frequently.
- ***Our Answer is DoDE and DRSM***

DoDE & DRSM: Generalizations of DoE

PART A: The DoDE Approach

5

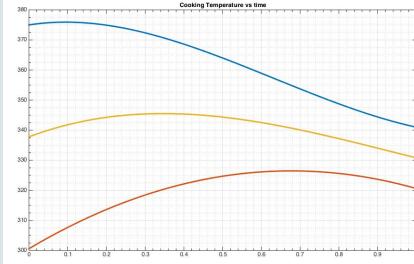
- Applicable to ANY Time-Varying Input Factor, $u(t)$

- Define Coded variable, $z(\tau)$

$$u(\tau) \triangleq u_0(\tau) + \Delta u(\tau) z(\tau)$$

$$z(\tau) = \frac{u(\tau) - u_0(\tau)}{\Delta u(\tau)}, \quad \begin{cases} u_0(\tau) = \frac{u_{\max}(\tau) + u_{\min}(\tau)}{2} \\ \Delta u(\tau) = \frac{u_{\max}(\tau) - u_{\min}(\tau)}{2} \end{cases}$$

$-1 \leq z(\tau) \leq +1, \quad \tau = t / t_b$



- Parameterize Input: $z(\tau)$

- Using: $P_i(\tau)$ =Shifted Legendre Polynomials

$$P_0(\tau) = 1, P_1(\tau) = -1 + 2\tau, P_2(\tau) = 1 - 6\tau + 6\tau^2, \dots$$

$$z(\tau) = \sum_{i=1}^n x_i P_{i-1}(\tau).$$

$$\text{Orthogonality: } \int_0^1 P_i(\tau) P_j(\tau) d\tau = 0 \text{ for } i \neq j$$

- Dynamic Sub-factors: $x_1, x_2, \dots, x_n; -1 \leq x_1 \pm x_2 \pm \dots \pm x_n \leq +1$

DoDE & DRSM: Generalizations of DoE

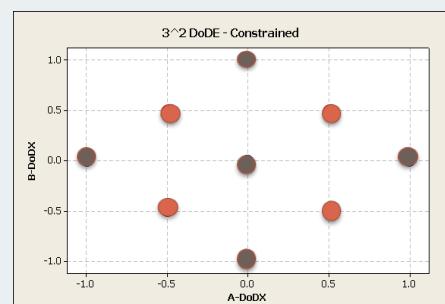
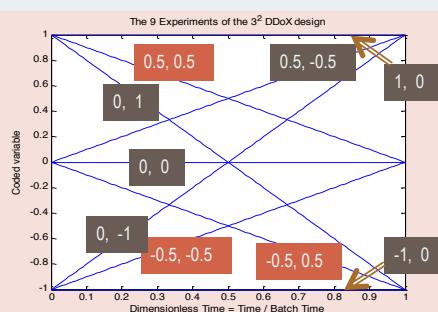
DoDE with n=2: a 3^2 Design

6

- Dynamic Factor: $z(\tau)$

- Dynamic Subfactors: x_1 and x_2

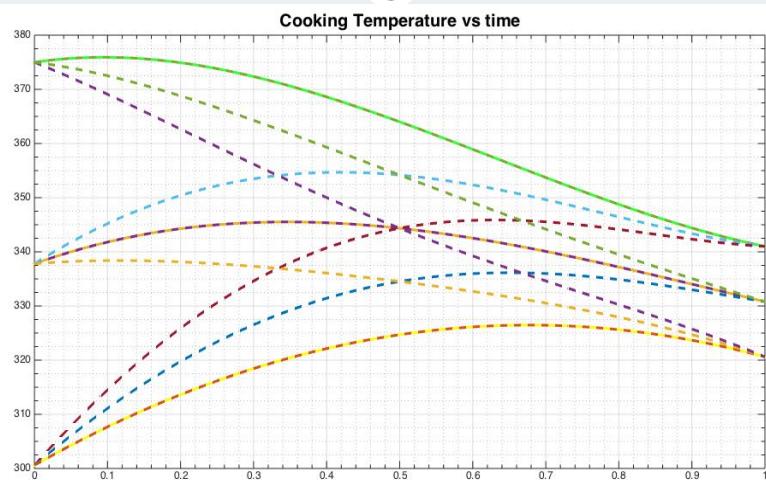
$$z(\tau) = x_1 P_0(\tau) + x_2 P_1(\tau) = x_1 + x_2(2\tau - 1); \quad \& \quad -1 \leq x_1 \pm x_2 \leq +1$$



DoDE & DRSM: Generalizations of DoE

The nine (9) runs within the Region

7



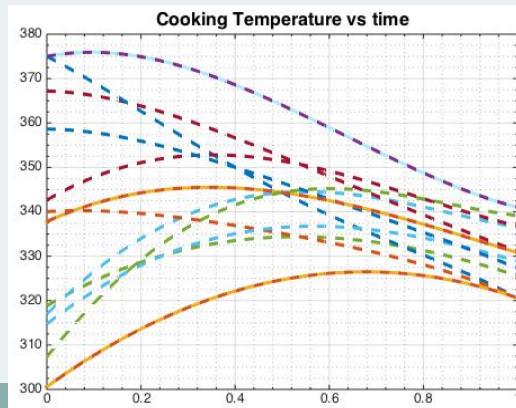
DoDE & DRSM: Generalizations of DoE

Quadratic Time Profiles

8

- The $2^3=8$ Full Factorial DoDE
- Dynamic Factor: $z(\tau)$
 - ◆ Dynamic Subfactors: x_1, x_2 and x_3

$$\begin{aligned}
 z(\tau) &= \\
 &= x_1 P_0(\tau) + x_2 P_1(\tau) + x_3 P_2(\tau) = \\
 &= x_1 + x_2(2\tau - 1) + x_3(1 - 6\tau + 6\tau^2) \\
 &\quad \& \\
 &-1 \leq x_1 \pm x_2 \pm x_3 \leq +1 \\
 &\text{so that} \\
 &-1 \leq z(\tau) \leq +1
 \end{aligned}$$



DoDE & DRSM: Generalizations of DoE

DoE & DoDE - Response Surface Models

9

❖ The DoE Steps

```

    graph LR
      A[Design of Experiments] --> B[Data]
      B --> C[Multilinear Regression]
      C --> D[Response Surface Model]
      D --> E[Optimization]
  
```

❖ Response Surface Model (RSM) $y = \beta_0 + \sum_{i=1}^n \beta_i x_i + \sum_{i=1}^n \sum_{j>i} \beta_{ij} x_i x_j + \sum_{i=1}^n \beta_{ii} x_i^2$

❖ Design of **Dynamic** Experiments: **The Same!**

Parameterize Time-Varying Input $z(\tau)$; ($\tau=t/t_b$)
 $z(\tau) = \sum_{i=0}^n x_i P_i(\tau); P_i(\tau) = \text{Shifted Legandre Polynomials}$

PARAMETERIZE, DoDE experiments, RSM, OPTIMIZE

DoDE & DRSM: Generalizations of DoE

Georgakis, C., (2009) ADCHEM Proceedings, Istanbul, July 2009.
Georgakis, C. (2013). *Ind. Eng. Chem. Res.* **52** (35): 12369-12382.

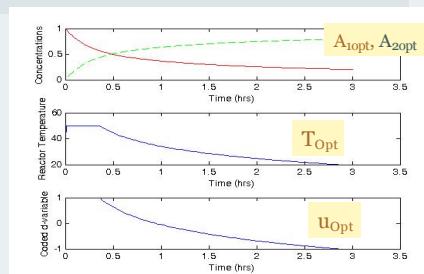
DoDE Example: Batch Reactor

10

- Batch Reversible Reaction $[15 < T < 50 \text{ } ^\circ\text{C}]$
 - ◆ $A_1 \rightleftharpoons A_2$ $k_i = k_{i0} \exp(-E_i/RT)$ with $E_2 > E_1$

Model-based Optimum: Decreasing Temperature Profile
Optimum Conversion=74.57% at $t_b=2.0 \text{ hr}$

DoE
 or
 DoDE
 ???



The figure consists of three vertically stacked line graphs sharing a common x-axis of Time (hrs) from 0 to 3.5.
 1. Top plot: Concentrations. It shows two curves: a red curve starting at 1.0 and decreasing to about 0.25, labeled $A_{1\text{opt}}$; and a green curve starting at 0.0 and increasing to about 0.75, labeled $A_{2\text{opt}}$.
 2. Middle plot: Reactor Temperature. It shows a blue curve starting at approximately 55°C and decreasing steadily to about 25°C, labeled T_{Opt} .
 3. Bottom plot: Control Variable. It shows a blue curve starting at 1.0 and decreasing to about -0.5, labeled u_{Opt} .

DoDE & DRSM: Generalizations of DoE

Reactor Optimization via DoE & DoDE

11

- Single Factor: Reactor Temperature
 - ❖ Data: Conversion at 2hr + Error ($\pm 3\%$)
 - ◆ Five DoE Experiments at $T=15, 32.5 (3)$, and 50°C
 - ❖ T constant with time!
 - ◆ Nine DoDE Experiment ($T(t)$ linear in Time)
 - ❖ Between 15 and 50°C
- Optimization: Maximum Conversion
 - ◆ DoE Optimum: $x=71.44$ at $T^*=36.25^{\circ}\text{C}$
 - ◆ DoDE Optimum: $x=74.32$, T^* from 50 to 28°C
 - ◆ Model-Based (True) Optimum = **74.57%**

DoDE & DRSM: Generalizations of DoE

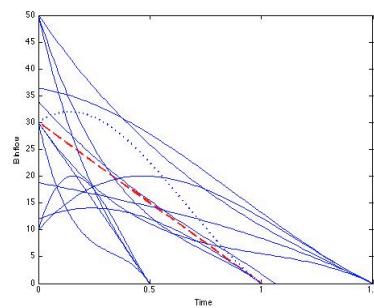
DoDE on Isothermal Semi-Batch Reactor

12

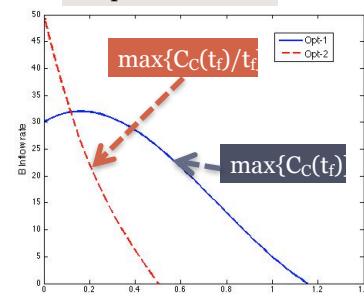
- Reaction Example:

$$\begin{aligned}
 Rxn1: A + B \rightarrow C, \quad r_1 = k_1 C_A C_B, \quad k_1 = 2 \text{ l mol}^{-1} \text{ h}^{-1} \\
 Rxn2: 2B \rightarrow D, \quad r_2 = k_2 C_B^2, \quad k_2 = 1 \text{ l mol}^{-1} \text{ h}^{-1} \\
 Rxn3: C \rightarrow E, \quad r_3 = k_3 C_C, \quad k_3 = 1 \text{ h}^{-1}
 \end{aligned}$$

DoDE Runs: Feeding B



Optimal Runs



DoDE & DRSM: Generalizations of DoE

Sepracor Pharmaceutical Reaction System

13

Asymmetric Catalytic Hydrogenation

$$\text{Reactant} \xrightarrow{\text{Catalytic Hydrogenation}} \text{trans-Product}$$

$$\text{Reactant} \xrightarrow{\text{Catalytic Hydrogenation}} \text{cis-Product}$$

Project Specific Goals:

- ❖ Optimize Reaction Conditions
 - Selectivity of Asymmetric Hydrogenation
 - Minimize Catalyst Loading
- ❖ Performance Criterion
 - Profit = Value of Product - Cost of Reactants

Experiments and Analysis performed by Fenia Makrydaki, PhD candidate

DoDE & DRSM: Generalizations of DoE

14

Sepracor Experimental System

Advantages

- ✓ Accurate Measurements
- ✓ Precise Pressure Control
- ✓ H₂ Consumption Monitoring
- ✓ Minimize Mass Transfer Limitations

DoDE & DRSM: Generalizations of DoE

Design of Dynamic Experiments – DoDE

$8=2^3$ experiments with 2 Levels & 3 (2+1dynamic) Factors Full Factorial

15

Time Variant Experiments: Temperature Profile
 Advantages: Additional degrees of freedom

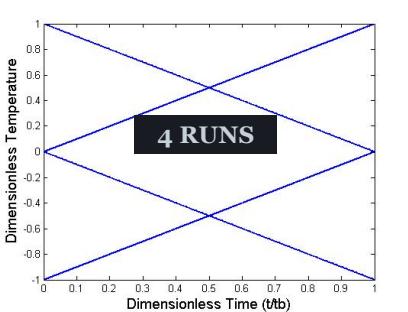


Figure A: 2 level, 2 factor, full factorial case

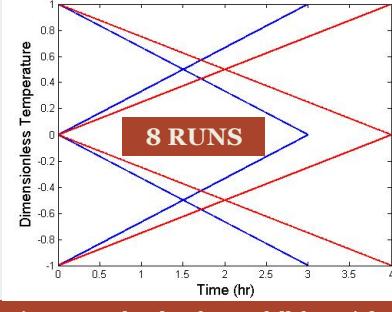


Figure B: 2 level, 2 factor, full factorial case for two time horizons.

Makrydaki, F., Georgakis, C., & Saranteas, K.:
 DYCOPS 2010, Leuven, Belgium, July 5-7, 2010

DoDE & DRSM: Generalizations of DoE

DoE Design Table & Responses

16

- D-Optimal Experimental Design -17 Runs
 - ❖ with 3 Center Points

Run	x ₁ (T)	x ₂ (RE)	x ₃ (CL)	x ₄ (BT)	DE (%)	Y (%)	PI (\$/l)
1	-1	1.67	0	-1	97.4	85.5	562.2
2	1	1.67	-1	1	93.7	75.7	897.7
3	-1	-1	-1	-1	97.7	98.6	155.7
4	-1	1.67	1	-2.25	97.3	96.1	668
5	1	1.67	-1	-1	90	39.7	-16.2
6	-1	-1	-1	-1	97.7	98.6	155.7

BEST DoE Run

7	-1	1.67	-1	0	96.8	95.4	726.1
12	1	1.67	1	0	93.8	94.6	1242.9
13	0	1.67	1	-1	95.7	85.7	714.1
14	1	-1	-1	-2	94	74.7	174.7
15	0	0	0	-2.33	94.9	97.4	497.8
16	0	0	0	-2.25	95.8	97.7	501
17	0	0	0	-2.33	95.9	97.5	499.3

DoDE & DRSM: Generalizations of DoE

10/5/16

16

DoDE Design Table & Responses

(17)

• 3 Static & 2 Dynamic Factors, 21 Runs +3 CPs

Run	$x_1 (a_s)$	$x_2 (a_t)$	$x_3 (\text{RE})$	$x_4 (\text{CL})$	$x_5 (\text{BT})$	DE (%)	Y (%)	PI (\$/l)
1	-0.88	0.13	-1	1	-2.5	97.3	98.7	142.1
2	0.06	0.06	-1	-1	-2.5	96.5	97.4	191.6
	-0.88	0.13	1.67	1	-2	97.8	97.2	684.6
	0.15	0.15	-1	-1	-2.42	96.1	98	212.8
	0.94	-0.06	-1	-1	-2.5	93.6	96.8	288.3
	0.5	0.5	1.67	1	1	96.4	99.1	534.3
BEST DoDE Run								
9	0	-1	1.67	-1	1	96.1	83	1076.2
	0.5	0.5	0	0	0	96.0	97.0	626.5
	-0.5	0.5	1.67	-1	-1	96.7	73.4	436.9
	0.5	-0.5	1.67	1	1	94.6	96.2	1294.9
	-0.25	-0.25	-1	-1	-2	96.7	98.3	213.7
	0.72	0.28	-1	-1	0.75	97.6	98.8	755.8
BEST DOE Run								
7	-1	--	1.67	-1	0	96.8	95.4	726.1
19	-0.5	-0.5	1.67	1	0	97.1	96.7	941.3
20	-1	0	-1	1	-2.33	97.8	98.8	142.2
21	-0.11	-0.11	0	0	-2.17	96.5	98.2	509.1
22	0	0	0	0	-2.33	94.9	97.4	497.8
23	0	0	0	0	-2.25	95.8	97.7	501
24	0	0	0	0	-2.33	95.9	97.5	499.3

DoDE & DRSM: Generalizations of DoE

What Did I Tell You so Far?

(18)

• Design of Dynamic Experiments (DoDE)

- ◆ First Generalization of DoE
 - ❖ New Set of Inputs: TIME-VARYING
- ◆ Effective Optimization of Processes
- ◆ SMALL distance from Model-Based Optimum

DoDE & DRSM: Generalizations of DoE

PART B: Dynamic RSM (DRSM)

19

- Use Time-Resolved Output Data
- Classical RSM: $y = \beta_0 + \sum_{i=1}^n \beta_i x_i + \sum_{i=1}^n \sum_{j=i+1}^n \beta_{ij} x_i x_j + \sum_{i=1}^n \beta_{ii} x_i^2$
- Dynamic RSM: $y(\tau) = \beta_0(\tau) + \sum_{i=1}^n \beta_i(\tau) x_i + \sum_{i=1}^n \sum_{j=i+1}^n \beta_{ij}(\tau) x_i x_j + \sum_{i=1}^n \beta_{ii}(\tau) x_i^2$
 $K = \# \text{ of C Measurements in Time}$ $\# \text{ of } \beta(\tau) \text{ functions} = 1 + n + 0.5n(n-1) + n$
- Parameterization of $\beta_{ij}(\tau) = \gamma_{ij,1} P_0(\tau) + \gamma_{ij,2} P_1(\tau) + \dots + \gamma_{ij,R} P_{R-1}(\tau)$
 $R = \# \text{ of Polynomials}$
- # of Model parameters < # of Data $R < K$

DoD& DRSM: Generalizations of DoE

DRSM for Simple Batch Reaction

20

$$A \xrightleftharpoons[k_2]{k_1} B$$

$$r = k_1[A] - k_2[B]$$

$$k_1 = k_{10} \exp\left(-\frac{E_1}{RT}\right),$$

$$k_{10} = 1.32 \times 10^8 \text{ h}^{-1},$$

$$E_1 = 10,000 \text{ kcal},$$

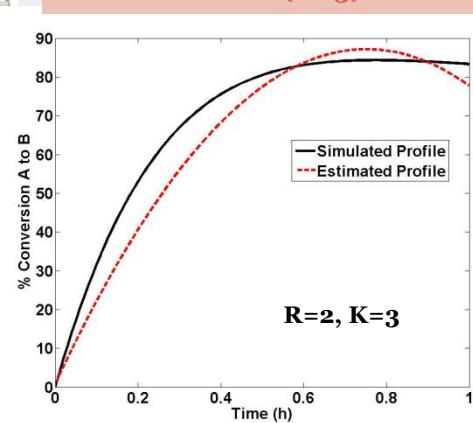
$$k_2 = k_{20} \exp\left(-\frac{E_2}{RT}\right),$$

$$k_{20} = 5.25 \times 10^{13} \text{ h}^{-1},$$

$$E_2 = 20,000 \text{ kcal}$$



Not enough measurements in time ($K=3$)



R=2, K=3

DoD& DRSM: Generalizations of DoE

Statistical Measure of Accuracy

(21)

- **Unmodeled Variance:**

$$\hat{SS}_{reg}(R, K) = \frac{\sum_{i=1}^M \left[\sum_{k=1}^K \{y_{RSM,i}(\mathbf{t}_k; R, K) - y_{exp,i}(\mathbf{t}_k)\}^2 \right]}{\sum_{i=1}^M \left[\sum_{k=1}^K \{y_{exp,i}(\mathbf{t}_k)\}^2 \right]}$$

- **Normal Variability:**

$$\hat{SS}_{err} = \frac{\sum_{i=1}^{n_{CP}} \left[\sum_{k=1}^K \{y_{0,i}(\boldsymbol{\tau}_k) - \bar{y}_{0,i}(\boldsymbol{\tau}_k)\}^2 \right]}{\sum_{i=1}^{n_{CP}} \left[\sum_{k=1}^K \{\bar{y}_{0,i}(\boldsymbol{\tau}_k)\}^2 \right]}$$

- **Hypothesis Testing:**

Null Hypothesis ----- $H_0 : \hat{SS}_{err} = \hat{SS}_{reg}(R, K)$
Alternative Hypothesis -- $H_1 : \hat{SS}_{err} < \hat{SS}_{reg}(R, K)$

- **F-Statistic:**

$$F_0(R, K) = \frac{\hat{SS}_{un}(R, K)/n_1}{\hat{SS}_{err}/n_2} = \frac{\hat{SS}_{un}(R, K)/(MK - Q)}{\hat{SS}_{err}/K(n_{CP} - 1)}$$

DoD& DRSM: Generalizations of DoE

Reactor Example: K=Measurements, R=Polynomials

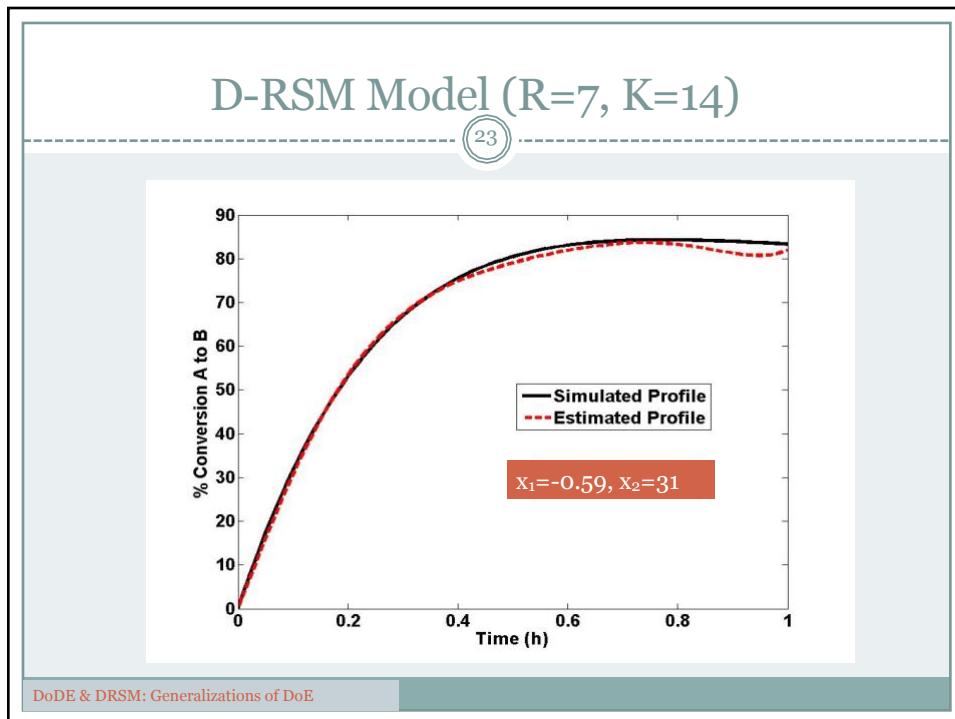
(22)

R	K							
	3	4	5	6	7	8	9	10
1	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
2	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
3	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
4		0.97	1.00	1.00	1.00	1.00	1.00	1.00
5			0.51	0.99	0.90	0.95	0.70	
6				0.82	0.34	0.48	0.03	
7					0.47	0.47	0.01	
8						0.58	0.01	
9							0.14	

K = number of time-resolved measurements; R = number of polynomials

if $p(R, K) \leq 0.95$ the Null Hypothesis **fails to be rejected** \Rightarrow **Model GOOD**
if $p(R, K) > 0.95$ the Null Hypothesis **is rejected**

DoD& DRSM: Generalizations of DoE



More Complex Semi-Batch Case

(24)

- Three inter-related reactions
- C is the desired product
 - ◆ Reactant B is fed in semi-batch mode

Rxn1: $A + B \rightarrow C$, $r_1 = k_1[A][B]$ with $k_1 = 2 \text{ gmol h}^{-1}$

Rxn2: $2B \rightarrow D$, $r_2 = k_2[B]^2$ with $k_2 = 1 \text{ gmol h}^{-1}$

Rxn3: $C \rightarrow E$, $r_3 = k_3[C]$ with $k_3 = 1 \text{ h}^{-1}$

- DRSMs for A(t), B(t), C(t), D(t), and E(t)

DoDE & DRSM: Generalizations of DoE

Statistical Test of Goodness-of -Fit (GoF)

(25)

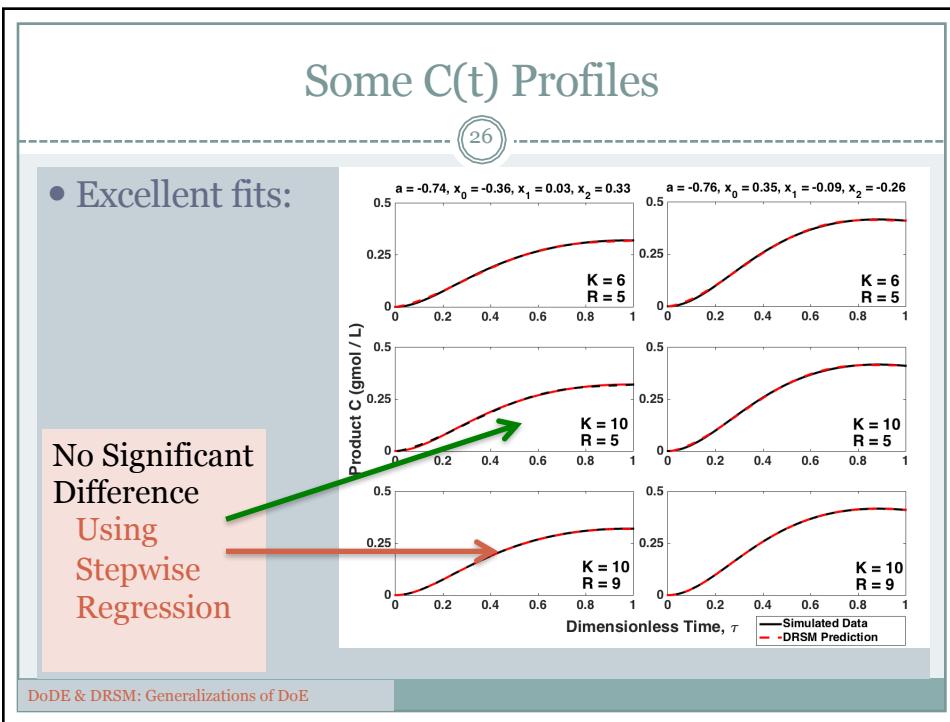
Table 6. Corresponding F-test p values for DRSM of product [C] in semi-batch 3-reaction network

R	<i>K</i>							
	3	4	5	6	7	8	9	10
1	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
2	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
3		1.00	1.00	1.00	1.00	1.00	1.00	1.00
4			1.00	1.00	1.00	1.00	1.00	1.00
5				2.33E-06	8.06E-06	2.89E-05	1.45E-02	2.22E-05
6					3.18E-26	1.22E-29	5.18E-27	6.14E-37
7						6.89E-36	9.60E-38	1.07E-50
8							9.60E-38	1.07E-50
9								1.07E-50

K = number of time-resolved measurements; R = number of polynomials

Excellent Model

DoDE & DRSM: Generalizations of DoE



Part C: DRSM Usage → Door to Knowledge

(27)

- Revisit Semi-Batch Reactor Example
 - ◆ Five DRSMs at Hand

$$\Rightarrow \begin{cases} R_1: A + B \rightarrow C \\ R_2: 2B \rightarrow D \\ R_3: C \rightarrow E \end{cases}$$

$$\begin{aligned} c_A(\tau) &= \beta_{A0}(\tau) + \sum_{i=1}^n \beta_{Ai}(\tau)x_i + \sum_{i=1}^n \sum_{j=i+1}^n \beta_{Aij}(\tau)x_i x_j + \sum_{i=1}^n \beta_{Aii}(\tau)x_i^2 \\ c_B(\tau) &= \beta_{B0}(\tau) + \sum_{i=1}^n \beta_{Bi}(\tau)x_i + \sum_{i=1}^n \sum_{j=i+1}^n \beta_{Bij}(\tau)x_i x_j + \sum_{i=1}^n \beta_{Bii}(\tau)x_i^2 \\ c_C(\tau) &= \beta_{C0}(\tau) + \sum_{i=1}^n \beta_{Ci}(\tau)x_i + \sum_{i=1}^n \sum_{j=i+1}^n \beta_{Cij}(\tau)x_i x_j + \sum_{i=1}^n \beta_{Cii}(\tau)x_i^2 \\ c_D(\tau) &= \beta_{D0}(\tau) + \sum_{i=1}^n \beta_{Di}(\tau)x_i + \sum_{i=1}^n \sum_{j=i+1}^n \beta_{Dij}(\tau)x_i x_j + \sum_{i=1}^n \beta_{Dii}(\tau)x_i^2 \\ c_E(\tau) &= \beta_{E0}(\tau) + \sum_{i=1}^n \beta_{Ei}(\tau)x_i + \sum_{i=1}^n \sum_{j=i+1}^n \beta_{Eij}(\tau)x_i x_j + \sum_{i=1}^n \beta_{Eii}(\tau)x_i^2 \end{aligned}$$

- Can Calculate Derivatives wrt Time

$$\frac{dc_A(\tau)}{d\tau} = \frac{d\beta_{A0}(\tau)}{d\tau} + \sum_{i=1}^n \frac{d\beta_{Ai}(\tau)}{d\tau} x_i + \sum_{i=1}^n \sum_{j=i+1}^n \frac{d\beta_{Aij}(\tau)}{d\tau} x_i x_j + \sum_{i=1}^n \frac{d\beta_{Aii}(\tau)}{d\tau} x_i^2$$

... for ALL experiments

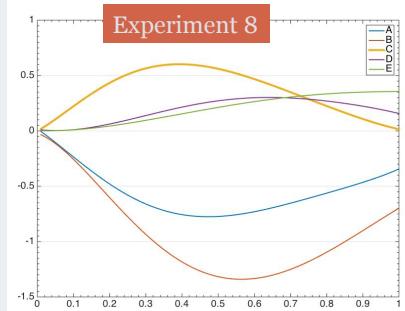
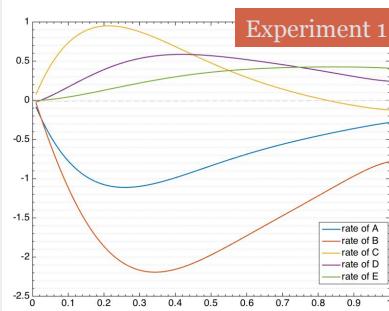
DoDE & DRSM: Generalizations of DoE

Calculate Rate of Appearance (Disappearance)

(28)

- Calculate at 100 time points in each Run:
 - ◆ $\tau = 0.01, 0.02, \dots, 0.99, 1.00$
- Can plot the Rates vs. Time
 - ◆ Can Understand what is Happening

$$\begin{aligned} r_A(\tau) &= \frac{dc_A(\tau)}{d\tau}, r_C(\tau) = \frac{dc_C(\tau)}{d\tau}, \\ r_D(\tau) &= \frac{dc_D(\tau)}{d\tau}, r_F(\tau) = \frac{dc_F(\tau)}{d\tau} \\ \text{but } r_B(\tau) &= \frac{dc_B(\tau)}{d\tau} - \frac{q_B(\tau)}{V} \end{aligned}$$



DoDE & DRSM: Generalizations of DoE

Discover the Stoichiometry

(29)

- Define Big Rate Data Matrix: DataM

$$\text{DataM} = \begin{pmatrix} \mathbf{D}_1 \\ \mathbf{D}_2 \\ \vdots \\ \mathbf{D}_Q \end{pmatrix}, \quad \mathbf{D}_k = \text{Data from } k\text{-th Experiment}$$

$$Q = 1 + n + 0.5n(n-1) + n + 6(3)$$

$$\text{for } n = 2 \Rightarrow Q = 12(9)$$

$$\mathbf{D}_k = \begin{pmatrix} r_{kA}(0.01) & r_{kB}(0.01) & r_{kC}(0.01) & r_{kD}(0.01) & r_{kE}(0.01) \\ r_{kA}(0.02) & r_{kB}(0.02) & r_{kC}(0.02) & r_{kD}(0.02) & r_{kE}(0.02) \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ r_{kA}(1.00) & r_{kB}(1.00) & r_{kC}(1.00) & r_{kD}(1.00) & r_{kE}(1.00) \end{pmatrix}$$

- DataM is a 909×5 matrix !!!
- SVD of DataM
 - ◆ **S has three Dominant Singular Values** → three Reactions !!
 - ◆ **Matrix V is KEY to Stoichiometry**

SVD(DataM) = USV^T

DoDE & DRSM: Generalizations of DoE

Testing Stoichiometries (measure A, B, C, D, and E)

(30)

- SVD Results

$$\mathbf{V}^T = \begin{pmatrix} 0.4072 & 0.8365 & -0.2557 & -0.2145 & -0.1516 \\ -0.2596 & 0.2086 & 0.7629 & -0.2333 & -0.5027 \\ 0.5955 & -0.2826 & 0.0140 & 0.4417 & -0.6084 \end{pmatrix} \quad \begin{array}{l} R_1: A + B \rightarrow C \\ R_2: 2B \rightarrow D \\ R_3: C \rightarrow E \end{array}$$

- TEST: $\mathbf{N}_r = \mathbf{N}\mathbf{V}\mathbf{V}^T$; is $\mathbf{N}_r = \mathbf{N}$???
- Test **TRUE** Stoichiometry
 - ◆ Score: 99.74%
- Test **Incorrect** Stoichiometry
 - ◆ Score: 64.97%
- Can Test **One** Reaction at a Time

$\mathbf{N} = \begin{pmatrix} -1 & -1 & 1 & 0 & 0 \\ 0 & -2 & 0 & 1 & 0 \\ 0 & 0 & -1 & 0 & 1 \end{pmatrix}$

$\mathbf{N} = \begin{pmatrix} -1 & -1 & 1 & 0 & 0 \\ 0 & -1 & 0 & 1 & 0 \\ 0 & 0 & -1 & 0 & 1 \end{pmatrix}$

DoDE & DRSM: Generalizations of DoE

Testing Stoichiometries (measure A, B, C and D - **NO E**)

(31)

- SVD Results $\mathbf{V}^T = \begin{pmatrix} 0.4125 & 0.8456 & -0.2608 & -0.2164 \\ -0.2841 & 0.3233 & 0.8503 & -0.3029 \\ 0.7620 & -0.1172 & 0.4571 & 0.4435 \end{pmatrix}$

$R_1: A + B \rightarrow C$
 $R_2: 2B \rightarrow D$
 $R_3: C \rightarrow E$

- Test **TRUE** Stoichiometry
 - ◆ Score: 99.67%
- Test **Incorrect** Stoichiometry
 - ◆ Score: 64.87%
- Can Test **One** Reaction at a Time

DoDE & DRSM: Generalizations of DoE

Calculate Reaction Rates

(32)

- From: $r_A(\tau), r_B(\tau), r_C(\tau), r_D(\tau), r_E(\tau)$
 - ◆ TO: $r_1(\tau), r_2(\tau), r_3(\tau)$

Reaction Rates Experiment 4	Reaction Rates Experiment 8

DoDE & DRSM: Generalizations of DoE

Derive Kinetic Laws

33

- From: $r_i(\tau)$, $C_A(\tau)$, $C_B(\tau)$
 - ◆ TO: Kinetic Rates $r_i(\tau) = f(C_A(\tau), C_B(\tau))$
- Work in Progress
 - ◆ Stay ... Tuned

DoDE & DRSM: Generalizations of DoE

What did I just Tell you?

34

- DRSM is a Generalization of RSM
 - ◆ Using Time-Resolved Measurements
 - ❖ Excellent Approximation of Composition Profiles
 - ◆ Stepping Stone to Stoichiometry and a Kinetic Model

DoDE & DRSM: Generalizations of DoE

Industrial Applications

35

- Dow Batch Polymerization Reactor
 - ◆ Use DoDE to Increase Productivity by 20%
 - ❖ Presented at the Houston AIChE Meeting
 - ❖ *Can Give you the Highlights*
- Pfizer Pharmaceutical Reaction System
 - ◆ Develop DRSMs & Discover Complex Stoichiometry
 - ❖ 10 Species involved in 8 reactions
- ExxonMobil Continuous Polymerization Process
 - ◆ Develop Meta-Models of KDM
 - ❖ Make Them More Accurate with Plant Data
 - ❖ USE for Optimization and Control Between SS Transition

DoDE & DRSM: Generalizations of DoE

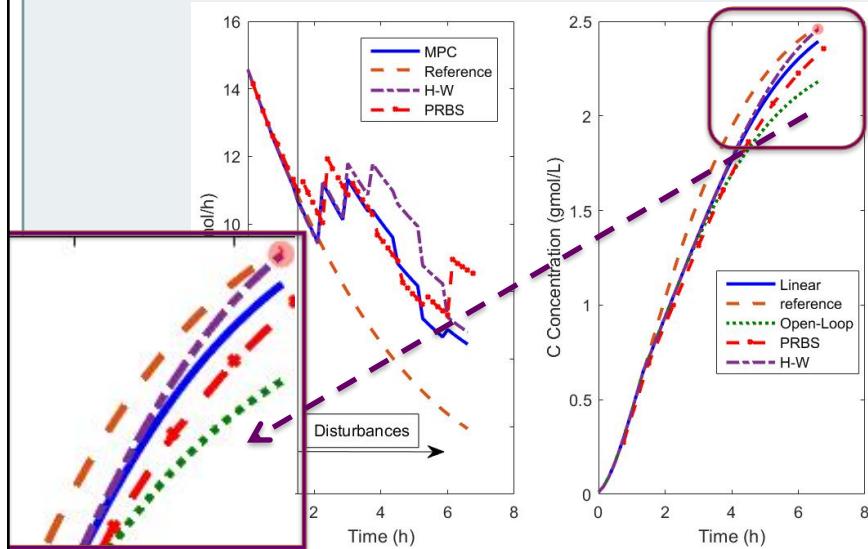
DRSM Model for the Optimization and Control of Batch Processes

36

DYCOPS 2016

Z. Wang, N. Klebanov & C. Georgakis
Tufts University
 Medford, MA 02155, USA

Control for Maximum Yield



37

38

What You Should Remember Tomorrow

- DoDE: First Generalization of DoE
 - ◆ Time-varying Inputs
- DRSM: Second Generalization of DoE
 - ◆ Using Time-Resolved Outputs
 - ❖ Excellent Approximation of Composition Profiles
 - ◆ Stepping Stone to a Kinetic Model
- Towards Non-Linear Models for Control
- Potential Benefits: ***Substantial***

THANK YOU

MAY I ANSWER YOUR QUESTIONS?